LEGISLATURE OF NEBRASKA

ONE HUNDRED FOURTH LEGISLATURE

FIRST SESSION

LEGISLATIVE BILL 15

Introduced by Krist, 10.

Read first time January 08, 2015

Committee:

- 1 A BILL FOR AN ACT relating to juveniles; to amend section 43-272.01,
- 2 Revised Statutes Cumulative Supplement, 2014; to provide additional
- 3 powers and duties for guardians ad litem; to define terms; to
- 4 harmonize provisions; and to repeal the original section.
- 5 Be it enacted by the people of the State of Nebraska,

- 1 Section 1. Section 43-272.01, Revised Statutes Cumulative
- 2 Supplement, 2014, is amended to read:
- 3 43-272.01 (1) A guardian ad litem as provided for in subsections (2)
- 4 and (3) of section 43-272 shall be appointed when a child is removed from
- 5 his or her surroundings pursuant to subdivision (2) or (3) of section
- 6 43-248, subsection (2) of section 43-250, or section 43-251. If removal
- 7 has not occurred, a guardian ad litem shall be appointed at the
- 8 commencement of all cases brought under subdivision (3)(a) or (7) of
- 9 section 43-247 and section 28-707.
- 10 (2) In the course of discharging duties as guardian ad litem, the
- 11 person so appointed shall consider, but not be limited to, the criteria
- 12 provided in this subsection. The guardian ad litem:
- 13 (a) Shall fulfill training requirements as prescribed by Supreme
- 14 Court rule;
- 15 $(\underline{b} + \underline{a})$ Is appointed to stand in lieu of a parent for a protected
- 16 juvenile who is the subject of a juvenile court petition, shall be
- 17 present at all hearings before the court in such matter unless expressly
- 18 excused by the court, and may enter into such stipulations and agreements
- 19 concerning adjudication and disposition deemed by him or her to be in the
- 20 juvenile's best interests;
- 21 $(\underline{c} \ b)$ Is not appointed to defend the parents or other custodian of
- 22 the protected juvenile but shall defend the legal and social interests of
- 23 such juvenile. Social interests shall be defined generally as the usual
- 24 and reasonable expectations of society for the appropriate parental
- 25 custody and protection and quality of life for juveniles without regard
- 26 to the socioeconomic status of the parents or other custodians of the
- 27 juvenile;
- 28 (d) Is entitled to receive all pleadings, notices, including timely
- 29 <u>notices of change of placement, and orders of the court filed in the</u>
- 30 proceeding and should make reasonable efforts to obtain complete copies
- 31 of such pleadings, notices, and orders;

- 1 (e) Is entitled to receive copies of all case plans and court
- 2 <u>reports prepared by the Department of Health and Human Services, the</u>
- 3 Office of Probation Administration, the Foster Care Review Office, any
- 4 court appointed special advocate, or any subcontractor thereof, as well
- 5 <u>as reports, summaries, evaluations, records, letters, and documents</u>
- 6 prepared by any other provider which the guardian ad litem deems relevant
- 7 to the best interests or legal rights of any juvenile represented by the
- 8 guardian ad litem. If these plans and reports are not provided as a
- 9 <u>matter of course to the guardian ad litem they shall be provided upon</u>
- 10 request of the guardian ad litem;
- 11 (f) Shall have the same right as the juvenile's legal guardian to
- 12 (i) obtain information from all professionals and service providers,
- 13 <u>including</u>, but not limited to, verbal communications, written reports,
- 14 <u>summaries</u>, opinions, and evaluations, and any other information regarding
- 15 the juvenile's placement and (ii) receive notice of and participate in
- 16 all conferences, staffings or team meetings, and hearings relating to the
- 17 juvenile's health, education, placement, or any other matter which, in
- 18 the opinion of the quardian ad litem, is relevant to or affects the best
- 19 <u>interests or legal rights of the juvenile;</u>
- 20 (g) Is authorized to communicate with and respond to inquiries for
- 21 information regarding the juvenile made by the Foster Care Review Office,
- 22 the Office of Probation Administration, a Department of Health and Human
- 23 Services case manager, or any court appointed special advocate;
- 24 (h) Is authorized to make announced or unannounced visits to the
- 25 juvenile at his or her home or placement or at any location at which the
- 26 juvenile may be present;
- $(i \in)$ May at any time after the filing of the petition move the
- 28 court of jurisdiction to provide medical or psychological treatment or
- 29 evaluation as set out in section 43-258. The guardian ad litem shall have
- 30 access to all reports resulting from any examination ordered under
- 31 section 43-258, and such reports shall be used for evaluating the status

- 1 of the protected juvenile;
- 2 (j d) Shall make every reasonable effort to become familiar with the
- 3 needs of the protected juvenile which (i) shall include consultation with
- 4 the juvenile within two weeks after the appointment and once every six
- 5 months thereafter and inquiry of the most current caseworker, foster
- 6 parent, or other custodian and (ii) may include inquiry of others
- 7 directly involved with the juvenile or who may have information or
- 8 knowledge about the circumstances which brought the juvenile court action
- 9 or related cases and the development of the juvenile, including
- 10 biological parents, physicians, psychologists, teachers, and clergy
- 11 members;
- 12 <u>(k) Shall, when possible, consult with the juvenile (i) when the</u>
- 13 juvenile requests that the guardian ad litem meet with him or her, (ii)
- 14 when the guardian ad litem has received notification of any emergency or
- 15 <u>other significant event or change in circumstances affecting the</u>
- 16 juvenile, including a change in the juvenile's placement, and (iii) prior
- 17 to any hearing at which substantive issues affecting the juvenile's legal
- 18 or best interests are anticipated to be addressed by the court;
- 19 (1 e) May present evidence and witnesses and cross-examine witnesses
- 20 at all evidentiary hearings. In any proceeding under this section
- 21 relating to a child of school age, certified copies of school records
- 22 relating to attendance and academic progress of such child are admissible
- 23 in evidence;
- $(\underline{m} +)$ Shall be responsible for making recommendations to the court
- 25 regarding the temporary and permanent placement of the protected juvenile
- 26 and shall submit a written report to the court at every dispositional or
- 27 review hearing, or in the alternative, the court may provide the guardian
- 28 ad litem with a checklist that shall be completed and presented to the
- 29 court at every dispositional or review hearing;
- 30 (n) Shall make inquiry of the juvenile's caseworker, foster parent,
- 31 or legal custodian and any other person directly involved with the

1 juvenile who may have knowledge about the case or the development of the

- 2 juvenile. The guardian ad litem shall also make inquiry of any other
- 3 person who has knowledge or information relevant to the juvenile's best
- 4 interests. The guardian ad litem may obtain such information through the
- 5 means of direct inquiry, interview, or the discovery process;
- 6 (o) Shall make written recommendations to the court in the form of a
- 7 report regarding the temporary and permanent placement of the protected
- 8 juvenile. The report shall include written recommendations to the court
- 9 regarding any other matter that affects or would affect the legal and
- 10 best interests of the juvenile;
- 11 (p) Shall submit a written report to the court at every
- 12 dispositional hearing and review hearing unless such written report is
- 13 <u>waived by the court but only in limited situations and for good cause.</u>
- 14 The information contained in the report of the quardian ad litem shall
- 15 <u>include</u>, but not be limited to: (i) Dates and descriptions of the type of
- 16 contact and communication with the juvenile; (ii) a listing of documents
- 17 reviewed; (iii) the guardian ad litem's concerns regarding any specific
- 18 matters or problems which, in the opinion of the guardian ad litem, need
- 19 special, further, or other attention in order to protect or facilitate
- 20 the juvenile's legal and best interests; and (iv) the guardian ad litem's
- 21 assessment of and recommendations regarding the juvenile's placement in
- 22 light of his or her needs and legal and best interests;
- 23 (q g) Shall consider such other information as is warranted by the
- 24 nature and circumstances of a particular case; and
- (r +) May file a petition in the juvenile court on behalf of the
- 26 juvenile, including a supplemental petition as provided in section
- 27 43-291.
- 28 (3)(a) The guardian ad litem, when determining what is in the
- 29 juvenile's best interests, shall make an independent determination by
- 30 considering all available information and resources and shall take the
- 31 necessary actions to advocate and protect the best interests of the

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1 juvenile. The determination as to best interests is not required to be

- 2 <u>consistent with any preferences expressed by the juvenile.</u>
- 3 (b) The guardian ad litem shall assess whether there is a need to
- 4 request the appointment of a separate attorney to represent the
- 5 juvenile's legal interests in the proceeding when the juvenile expresses
- 6 a preference which is inconsistent with the guardian ad litem's
- 7 determination of what is in the best interests of the juvenile. The
- 8 guardian ad litem in making such assessment shall consider: (i) The
- 9 juvenile's age; (ii) the juvenile's capacity; (iii) the juvenile's level
- 10 of maturity; and (iv) the nature of the inconsistency between the
- 11 <u>juvenile's expressed preference and the guardian ad litem's determination</u>
- 12 <u>as to the juvenile's best interests.</u>
- 13 (c) The guardian ad litem, after making such assessment, shall
- 14 request the court to make a determination whether special reasons exist
- 15 for the court to appoint a separate attorney to represent the legal
- 16 interests of the juvenile when the guardian ad litem determines all of
- 17 the following: (i) That the juvenile's expressed preference represents a
- 18 communication that is made by a juvenile of sufficient age, capacity, and
- 19 maturity; (ii) that the juvenile's expressed preference is of
- 20 significance to other matters or issues in the case affecting the
- 21 juvenile and is within the bounds of law and reality; and (iii) that the
- 22 guardian ad litem believes that it would be a conflict of interest or
- 23 unreasonably burdensome for the quardian ad litem to continue to act as
- 24 attorney for the juvenile in light of the preference expressed by the
- 25 juvenile.
- 26 (4) Notwithstanding subsection (3) of section 43-272, in cases in
- 27 which an attorney has already been appointed to represent the legal
- 28 interests of the juvenile, another attorney appointed to serve as a
- 29 guardian ad litem for such juvenile shall function only in the role as
- 30 guardian ad litem for the juvenile concerning the juvenile's best
- 31 interests and shall be bound by all of the duties and shall have all of

1 the authority of a guardian ad litem, with the exception of acting as

- 2 <u>legal counsel for the juvenile.</u>
- 3 (5) In any situation in which the guardian ad litem has been
- 4 appointed to represent more than one juvenile within the same case, the
- 5 guardian ad litem shall ascertain throughout the case whether the
- 6 guardian ad litem's advocacy of the legal and best interests of any one
- 7 juvenile would be adverse to or conflict with the legal or best interests
- 8 of any other juvenile represented by the same guardian ad litem. When the
- 9 guardian ad litem reasonably believes that to continue as guardian ad
- 10 litem for all of the juveniles would be problematic in this specific
- 11 <u>regard, the guardian ad litem shall apply to the court for the</u>
- 12 <u>appointment of a separate guardian ad litem or attorney for the affected</u>
- 13 juvenile or juveniles. When any juvenile has expressed a preference or
- 14 position regarding a certain matter or issue, the guardian ad litem shall
- 15 <u>utilize the standards set forth in subdivision (3)(c) of this section.</u>
- 16 <u>(6) The guardian ad litem shall make every effort to see the</u>
- 17 <u>juvenile in his or her placement at least once with respect to each</u>
- 18 placement.
- 19 (7) The guardian ad litem shall, if possible, when an unreasonable
- 20 geographical distance is involved between the location of the juvenile
- 21 <u>and the guardian ad litem:</u>
- 22 (a) Obtain from the court an advance determination that the court
- 23 will arrange for the payment or reimbursement of the quardian ad litem's
- 24 reasonable expenses incurred in connection with the travel to meet with
- 25 the juvenile; or
- 26 (b) Utilize electronic means or technology to communicate with the
- 27 <u>juvenile.</u>
- 28 (8) The authority of the guardian ad litem shall commence upon
- 29 appointment by the court and shall continue in that case until such time
- 30 <u>as the court terminates its jurisdiction.</u>
- 31 (9) The guardian ad litem may voluntarily withdraw from

1 representation in any case in which the guardian ad litem files a motion

- 2 to withdraw and the court, in its discretion, enters a corresponding
- 3 <u>order granting such withdrawal.</u>
- 4 (10) The guardian ad litem shall read and comprehend the court
- 5 reports prepared by the Department of Health and Human Services, the
- 6 Foster Care Review Office, the Office of Probation Administration, the
- 7 court appointed special advocate, and all other persons or providers
- 8 <u>assigned to the case who prepare such reports to the court;</u>
- 9 (11) The guardian ad litem shall attend all hearings unless
- 10 <u>expressly excused by the court.</u>
- 11 (12) The guardian ad litem may testify only to the extent allowed by
- 12 <u>the Nebraska Rules of Professional Conduct.</u>
- 13 (13) The guardian ad litem shall advocate for the juvenile to be
- 14 present at all court hearings as appropriate and take steps when
- 15 necessary to ensure such attendance on the part of the juvenile.
- 16 (14) The guardian ad litem shall provide quality representation and
- 17 <u>advocacy for the juveniles he or she is appointed to represent throughout</u>
- 18 <u>the entirety of the case.</u>
- 19 <u>(15) The guardian ad litem shall not accept workloads or caseloads</u>
- 20 that, by reason of their excessive size or demands, including, but not
- 21 limited to, factors such as the number of children represented at any
- 22 given time, interfere with or lead to the breach of the professional
- 23 <u>obligations or standards required to be met by a guardian ad litem by law</u>
- 24 <u>or by court rules.</u>
- 25 (16) An attorney shall not accept caseloads or appointments to serve
- 26 as a quardian ad litem or to provide quardian ad litem services that are
- 27 <u>likely to, in the best professional judgment of the attorney, lead to the</u>
- 28 provision of representation or service that is ineffective to protect and
- 29 <u>further the interests of the juvenile, or likely to lead to the breach of</u>
- 30 professional obligations of the quardian ad litem.
- 31 (17) The guardian ad litem may be removed from a case by the court

- 1 for cause if the court finds that (a) the guardian ad litem's performance
- 2 is inadequate, (b) the quardian ad litem has substantially failed to
- 3 <u>discharge duties or act to protect the best interests of the juvenile or</u>
- 4 juveniles for whom the guardian ad litem was appointed, or (c) any other
- 5 <u>factor or circumstance prevents or substantially impairs the guardian ad</u>
- 6 litem's ability to fairly and fully discharge his or her duties. In
- 7 determining whether removal of the guardian ad litem is warranted in a
- 8 particular case, the court shall assess the quardian ad litem's
- 9 performance under the requirements and standards of practice imposed upon
- 10 <u>a guardian ad litem by the Nebraska Juvenile Code as well as any</u>
- 11 <u>applicable court rules.</u>
- 12 (18) The duties of a guardian ad litem shall be personal to the
- 13 appointed individual and shall not be delegated to another person, if
- 14 <u>feasible.</u>
- 15 $(\underline{19} \ 3)$ Nothing in this section shall operate to limit the discretion
- of the juvenile court in protecting the best interests of a juvenile who
- is the subject of a juvenile court petition.
- 18 (20 4) For purposes of subdivision $(2)(\underline{j} \text{ }\theta)$ of this section, the
- 19 court may order the expense of such consultation, if any, to be paid by
- 20 the county in which the juvenile court action is brought or the court
- 21 may, after notice and hearing, assess the cost of such consultation, if
- 22 any, in whole or in part to the parents of the juvenile. The ability of
- 23 the parents to pay and the amount of the payment shall be determined by
- 24 the court by appropriate examination.
- 25 <u>(21) The guardian ad litem may be compensated on a per-case</u>
- 26 appointment system or pursuant to a system of multi-case contracts. All
- 27 compensation for guardian ad litem services shall be based upon an hourly
- 28 fee and not a flat-fee scale regardless of whether such services are
- 29 being rendered pursuant to an individual court appointment or under a
- 30 contract for such services. Billing hours and expenses for court-
- 31 appointed guardian ad litem services shall be submitted to the court for

1 approval and shall be recorded on a written, itemized billing statement.

- 2 Billing hours and expenses for guardian ad litem services rendered under
- 3 a contract for such services shall be submitted to the entity with whom
- 4 the quardian ad litem contracts in the form and manner prescribed by such
- 5 entity for approval.
- 6 (22) For purposes of subdivision (2)(j) of this section and this
- 7 subdivision:
- 8 (a) Consultation with the juvenile means meeting in person with the
- 9 juvenile unless prohibited or made impracticable by exceptional
- 10 circumstances; and
- 11 (b) Exceptional circumstances includes, but is not limited to,
- 12 <u>situations in which an unreasonable geographical distance is involved</u>
- 13 between the location of the guardian ad litem and the juvenile. When such
- 14 exceptional circumstances exist, the guardian ad litem shall attempt
- 15 consultation with the juvenile by other reasonable means, including, but
- 16 not limited to, telephonic or other suitable electronic means, assuming
- 17 that the juvenile is of sufficient age and capacity to participate in
- 18 such means of communication and there are no other barriers preventing
- 19 such communication. When consultation by telephonic or other suitable
- 20 electronic means is not feasible, the guardian ad litem shall seek
- 21 direction from the court as to any other acceptable method by which to
- 22 accomplish consultation with the juvenile.
- 23 Sec. 2. Original section 43-272.01, Revised Statutes Cumulative
- 24 Supplement, 2014, is repealed.